

#### ES PATENT AND TRADEMARK OFFICE IN THE UNITED ST

In rethe Application of:	) Attorney Docket No. 7118-001 REG US
Bruce L. Horn	) Group Art Unit: 2121
Title:	) Examiner: Unknown
Computer System for Automatic Organizing, Indexing and Viewing of Information from Multiple Sources	) Telephone: ) )
Serial No. 10/621,689 Filed: July 16, 2003	Date of Mailing: May 3, 2004 )

Certification under 37 CFR 1.8

Mail Deposit Date: May 3, 2004

I hereby certify that the correspondence is being deposited on the above stated date with the United States Post Office as First Class Mail, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

Name: Jana L. Dulin

Signature:

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.97**

Mail Stop: Patent Application Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450

Sir:

Applicant wishes to disclose the following information under rule 97:

### **REFERENCES**

The Applicant wishes to make of record the references cited in the corresponding PCT International Search Report, PCT/US03/22464, dated December 3, 2003, published January 22, 2004 as WO 2004/008348A1, published with the International Search Report A copy of the PCT International (which is available electronically to the Examiner). Search Report is being submitted herewith to complete the record.

#### RELATED CASE(S)

Applicant has filed the following related U.S. application:

This Application is based on the following Provisional Application, filed by the same inventor under the application title: System, Method and Software for Dynamic, Automatic Organization, Archiving, Retrieval, Indexing and Viewing of Information Objects from Multiple Sources, filed July 16, 2002; Serial Number 60/396,439.

#### **CERTIFICATION**

The undersigned certifies that:

[X] No Office Action has been received in this case.

#### **FEE PAYMENT**

- [X] It is believed that no fee is due for the papers being filed herewith.
- [ ] Please charge Deposit Account No. **04-1699** in the amount of \$\_\_\_\_\_ for the fees due under §1.17(p) for the papers being filed herewith. A duplicate of this sheet is enclosed.
- [X] If the fees as submitted above are not correct or a fee is required, please charge any additional fees, or credit any overpayment, to Deposit Account No. 04-1699. Please reference the Attorney Docket number 7118-001 US in recording any fee charge made.

Encls: Copy of PTO/SB/08A citing 3 references

Copy of PCT International Search Report/PCT US03/22464

Copy of References Cited: Hobbs US 6,523,022B1

Ellacott 5,899.997 Bowen 6,094,649

Return Receipt Postcard

DATE: May 3, 2004

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Respectfully submitted,

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Registration No. 24,067

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rk Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

form 1449/PTO

Sheet

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/621,689	
Filing Date	July 16, 2003	
First Named Inventor	Horn, Bruce L.	
Art Unit	2121	
Examiner Name	Unknown	
Attornov Docket Number	7118-001115	

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Α	<sup>US-</sup> 5,899,997	05-04-1999	Ellacott	col 3,lines 40-67	
	В	<sup>US-</sup> 6,523,022B1	02-18-2003	Hobbs	col 7, lines 50-67	
	С	<sup>US-</sup> 6,094,649	07-25-2000	Bowen et al	col 4, lines 20-67	
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Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	1
		1444 DD 30004			1
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.